

## **AAIC 2022® Confidentiality Agreement Policy**

We look forward to you joining us at the Alzheimer's Association International Conference® (AAIC 2022®). Please read carefully and agree to the following policies:

### **AAIC 2022 Confidentiality Agreement Policy**

Abstracts and related information submitted to AAIC 2022 are considered final and confidential from the time of submission. This Confidentiality Agreement covers all abstracts, the title of the abstract and summary description placeholders ("Confidential Information"). Compliance with the Confidentiality Agreement is required by all parties submitting and/or receiving Confidential Information, and each recipient will be held accountable for any violations of AAIC 2022 Confidentiality Agreement Policy.

Prior to the Confidential Information being publicly released by the Alzheimer's Association® in conjunction with the AAIC 2022, authors, coauthors, sponsors of the research, news media, journalists, analysts, and other AAIC 2022 attendees, recipients of Confidential Information **may not**:

- Make the information public or provide it to others who may make it public or utilize the information;
  - Publish or present the information or provide it to others who may publish or present it; or
  - Use the information for any commercial purposes, pecuniary purposes, securities trading, securities recommendations, and/or securities analysis until such information has been presented at AAIC and been reasonably absorbed on a broader basis into the market.

Unless express permission is granted by the Alzheimer's Association in its sole discretion, which may be sought by request (see below), the abstract must not be presented at any scientific, medical or educational meeting or published in a scientific, medical or educational publication, in any medium, in whole or in part, before AAIC 2022 -- with the exception of posting to a pre-print server (see below).

If attendees wish to have information from an abstract or portions of the dataset disclosed in advance of public release at AAIC 2022, specific exception requests must be made per the Confidentiality Agreement Exception instructions described below.

The Alzheimer's Association recognizes that certain federal and international laws require disclosure of certain clinical trial results through federal and international registries within a certain time period. If the imposed deadline for data submission

falls before AAIC meeting dates, submission of the trial results to the required registry will not be viewed as a breach of AAIC 2022 Confidentiality Agreement.

### **AAIC News Program / News Embargo**

The AAIC Confidentiality Agreement Policy extends to and includes all embargoed or early copies of the abstracts shared with news media, as these documents are confidential per this policy.

For a study reported at AAIC 2022 to be eligible for inclusion in the AAIC 2022 news program, information contained in the abstract, as well as additional data and information to be presented about the study at AAIC 2022, must not be disclosed before the findings have been publicly released in conjunction with AAIC 2022.

In order to be eligible to participate in AAIC 2022 news releases and news briefings, abstract submitters agree to keep the content of their abstracts confidential until reported at AAIC 2022. Specifically, the content of the abstract:

- Cannot be uploaded to a pre-print manuscript server before AAIC, unless an exception has been granted as provided for below;
- Cannot be published or presented at any other conference or public meeting or event before AAIC; and
- Cannot be shared online or via social media before AAIC, or made public in any other manner.

All data, graphics and other information of any type included in the AAIC news program are embargoed for any disclosure in any medium until the news embargo date and time indicated by the Alzheimer's Association on the relevant AAIC news releases and related announcements.

### **Confidentiality Agreement Exceptions**

Exceptions to the AAIC Confidentiality Agreement Policy require written communication with the Alzheimer's Association a minimum of 48 hours in advance of any public release. Specific inquiries about exceptions to the AAIC 2022 Confidentiality Agreement should be emailed to [AAICConfidentiality@alz.org](mailto:AAICConfidentiality@alz.org).

Results that have been previously deposited to a pre-print server are now eligible for abstract submission to AAIC. In addition, results submitted to AAIC can be deposited to a pre-print server before AAIC - but this requires submission and acceptance of an exception to the AAIC Confidentiality Agreement Policy. Requests for exceptions to the AAIC 2022 Confidentiality Agreement should be emailed to [AAICConfidentiality@alz.org](mailto:AAICConfidentiality@alz.org).

A Securities and Exchange Commission ("SEC") exception is available to companies whose securities are publicly traded to the extent that disclosure is

necessary for that company to comply with the disclosure requirements under any U.S. federal or state or international securities laws.

The obligations above shall not extend to any part of the Confidential Information (a) that can be demonstrated to have been in the public domain or publicly known at the time of disclosure; (b) that becomes part of the public domain or publicly known by publication or otherwise, not due to any unauthorized act by the abstract submitter; (c) that can be demonstrated as independently developed by the abstract submitter without reference to or reliance upon such Confidential Information; or (d) that is required to be disclosed by law, in which case the abstract submitter will notify the Alzheimer's Association of such requirement.

Other exceptions to the AAIC 2022 Confidentiality Agreement may be granted by the Alzheimer's Association in specific circumstances including, but not limited to, public health reasons and/or to meet the requirements of state, national or international government agencies.

No matter if a Confidentiality Agreement exception applies or is granted, the Alzheimer's Association retains the right, in its sole discretion, to change an abstract's placement in the meeting program based on the extent of information disclosed. If an exception applies or is granted, the study will most likely be ineligible for the official AAIC 2022 news program.

If the Confidentiality Agreement Policy is broken, the Alzheimer's Association retains the right, in its sole discretion, to: remove the abstract from AAIC 2022, deny the responsible author(s) attendance at AAIC 2022, and/or bar the responsible author(s) from future submission and/or attendance at AAIC 2022.

(end)